

DOCKET NO.: MSFT-2748/302029.01

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Bimal Kumar Mehta, et al.

Confirmation No.: 2802

Application No.: 10/698,762

Group Art Unit: 2122

Filing Date: October 31, 2003

Examiner: Not Yet Assigned

For: **HANDLING A DELIVERY FAILURE AS A PROGRAM EXCEPTION IN A  
DISTRIBUTED ASYNCHRONOUS ARCHITECTURE**

DATE OF DEPOSIT: 12/7/04

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TYPED NAME: Christos A. Ioannidi  
REGISTRATION NO.: 54,195

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **1 thru 5** listed on the attached Form PTO-1449 are enclosed herewith.

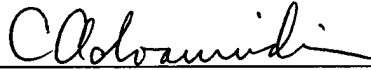
- ☒ Copies of reference numbers **6 thru 8** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 12/7/04

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>MSFT-2748/302029.1</b>	Application No. <b>10/698,762</b>
		Applicant <b>Bimal Kumar Mehta, et al.</b>	
		Filing Date <b>October 31, 2003</b>	Group <b>2122</b>
		Confirmation No. <b>2802</b>	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>1</b>	Barros, A.P. et al., "Modelling Concurrent Process Coordination in Workflow Specifications", <i>Information System Concepts: An Integrated Discipline Emerging, International Conference on Information System Concepts: An Integrated Discipline Emerging, 2000</i> , 141-164	
	<b>2</b>	Barros, A.P. et al., "Towards Real-Scale Business Transaction Workflow Modelling", <i>Advanced Information Systems Engineering, 9<sup>th</sup> International Conference, 1997</i> , 437-450	
	<b>3</b>	Cheung, S.C. et al., "Data-Driven Methodology to Extending Workflows to E-Services over the Internet", <i>Dept of Computer Science, Hong Kong University of Science &amp; Technology, China, 2003</i> , 10	
	<b>4</b>	"Web Services Description Language, <i>Web Service Definition Language (WSDL) 1.1</i> ", W3CNote, <b>March 15, 2001</b> , 51 pages	
	<b>5</b>	"Business Process Execution Language for Web Services", <b>May 5, 2003</b> , Version 1.1, Published by Microsoft, IBM, and BEA (For the Definition of Business Process Semantics), 67 pages	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	

**Form PTO-1449 Modified**

List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.  
MSFT-  
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Application No.  
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Group  
**2122**

Confirmation No.  
**2802**

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	6	5,377,337	12/27/94	Antognini, et al.	718	100
	7	6,560,626	05/06/03	Hogle, et al.	718	102
	8	6,804,818	10/12/04	Codella, et al.	719	315

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

**EXAMINER****DATE CONSIDERED**